

McDonald Capital Management, LLC

Thaddeus J. "Ted" McDonald

Consent Order

DATE: October 8, 2002 RESPONDENT: McDonald Capital Management, LLC, and Thaddeus J. "Ted" McDonald of St. Louis, Missouri

ALLEGATIONS: The Commissioner's staff alleges that respondent McDonald Capital Management, LLC, engaged in business as an investment adviser in the state of Kansas before it became properly registered under the Kansas Securities Act, and that respondent Ted McDonald engaged in business as an investment adviser representative without registration. The respondents neither admit nor deny those allegations.

ORDER: Respondent Ted McDonald was ordered to pay a fine of \$1,000, and respondent McDonald Capital Management, LLC, was ordered to disgorge commissions of \$1,750.

Notice to Investors: If you are not a resident of Kansas and have been affected by actions that are similar to those described in this synopsis, you should consider contacting your state securities regulator for assistance. To locate your securities regulator, go to the [North American Securities Administrators Association](#) website. If you choose to contact your state securities regulator, please inform him or her of the action taken by the State of Kansas.